

# Reducible Graphs and Bisimilarity of 1-free Star Expressions

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A process interpretation of regular expressions was first studied in Milner (1984), where they were called *star expressions*. Milner gave a sound axiomatization for bisimilarity of the process interpretation of star expressions, leaving the problem of completeness open. Recently, Grabmayer & Fokkink (2020) gave a partial solution for 1-free star expressions via *LLEE charts*. We propose a new class of charts, based on *reducible graphs*, an important class of graphs in compiler engineering. These graphs can be characterized via a structural property and via graph transformations; we leverage both to construct a simple completeness proof for *strongly normed* 1-free star expressions. We hope to extend this work in progress to a full completeness proof, and are interested in other applications of structural characterizations in term graph rewriting.

## 1 Star Expressions and Process Semantics

In 1984, Milner studied *star expressions*, i.e., regular expressions up to bisimilarity [12]. He showed that all but two of Salomaa’s axioms for regular language equivalence [15] are *sound* in this setting, and asked whether this subset might be *complete*. In 2020, Grabmayer and Fokkink [6] made substantial progress on this question by settling its restriction to *1-free star expressions*. These are regular expressions without the constant 1, and where the Kleene star takes its (original [10]) binary form:

$$\text{StExp}(A) \ni e, f ::= 0 \mid a \in A \mid e + f \mid e \cdot f \mid e^{\otimes} f$$

To fully appreciate this result, we need to develop some formal notions first, starting with charts.

**Definition 1.1.** We fix a finite set of actions  $A$ . A *chart* is a tuple  $C = (V, T, v^s)$  where  $V$  is a finite set of *nodes*,  $T \subseteq V \times A \times (V \cup \{\sqrt{\phantom{x}}\})$  is a *transition relation* where  $\sqrt{\phantom{x}} \notin V$  is a special *terminating node*, and  $v^s \in V$  is the *initial node*. We write  $v \xrightarrow{a} v'$  to mean  $(v, a, v') \in T$ . A node  $v' \in V \cup \{\sqrt{\phantom{x}}\}$  is said to be *reachable* from a node  $v \in V$  if there exist  $v = v_0, \dots, v_n = v'$  such that for  $0 \leq i < n$ , there exists an  $a \in A$  with  $v_i \xrightarrow{a} v_{i+1}$ . We call  $v \in V$  *normed* if  $\sqrt{\phantom{x}}$  is reachable from  $v$ . We assume that all charts are *start-vertex-connected*, meaning that all vertices are reachable from  $v^s$ .

Importantly for this work, we call a chart *strongly normed* if every node in the chart is normed. We can define a transition relation on 1-free star expressions, and in turn a chart for every 1-free star expression, called its *chart interpretation*.

**Definition 1.2.** The transition relation  $T(A)$  is the smallest subset of  $\text{StExp}(A) \times A \times (\text{StExp}(A) \cup \{\sqrt{\phantom{x}}\})$  that satisfies the following rules, for all  $e_1, e_2, e'_1 \in \text{StExp}(A)$ ,  $a \in A$  and  $\xi \in \text{StExp}(A) \cup \{\sqrt{\phantom{x}}\}$ :

$$\begin{array}{c} \frac{}{a \xrightarrow{a} \sqrt{\phantom{x}}} \quad \frac{e_1 \xrightarrow{a} \xi}{e_1 + e_2 \xrightarrow{a} \xi} \quad \frac{e_2 \xrightarrow{a} \xi}{e_1 + e_2 \xrightarrow{a} \xi} \quad \frac{e_1 \xrightarrow{a} e'_1}{e_1 \cdot e_2 \xrightarrow{a} e'_1 \cdot e_2} \quad \frac{e_1 \xrightarrow{a} \sqrt{\phantom{x}}}{e_1 \cdot e_2 \xrightarrow{a} e_2} \\[10pt] \frac{e_1 \xrightarrow{a} e'_1}{e_1^{\otimes} e_2 \xrightarrow{a} e'_1 \cdot (e_1^{\otimes} e_2)} \quad \frac{e_1 \xrightarrow{a} \sqrt{\phantom{x}}}{e_1^{\otimes} e_2 \xrightarrow{a} e_1^{\otimes} e_2} \quad \frac{e_2 \xrightarrow{a} \xi}{e_1^{\otimes} e_2 \xrightarrow{a} \xi} \end{array}$$

For every  $e \in \text{StExp}(A)$ , this transition relation gives rise to a chart  $C(e) = (V(e), T(e), e)$ , where  $T(e)$  is generated by the transitions starting from  $e$ , and  $V(e) \subseteq \text{StExp}(A)$  is the finite set of star expressions occurring in  $T(e)$ . We call an expression  $e$  *normed* if it is normed in  $C(e)$ , and *strongly normed* if  $C(e)$  is strongly normed. Intuitively, an expression  $e$  is strongly normed when it always terminates successfully.

Charts can be equipped with a notion of bisimulation and homomorphism, as follows:<sup>1</sup>

**Definition 1.3.** Given two charts  $C_i = (V_i, T_i, v_i^s)$  for  $i \in \{1, 2\}$ , a *bisimulation* between  $C_1$  and  $C_2$  is a relation  $R \subseteq V_1 \times V_2$  such that  $v_1^s R v_2^s$ , and if  $v_1 R v_2$ , the following conditions hold:

(*forth*) If  $v_1 \xrightarrow{a} w_1 \in V_1$  in  $C_1$ , then there exists a transition  $v_2 \xrightarrow{a} w_2$  in  $C_2$  such that  $w_1 R w_2$ ;

(*back*) If  $v_2 \xrightarrow{a} w_2 \in V_2$  in  $C_2$ , then there exists a transition  $v_1 \xrightarrow{a} w_1$  in  $C_1$  such that  $w_1 R w_2$ ;

(*termination*)  $v_1 = \surd$  if and only if  $v_2 = \surd$ .

If there is a bisimulation between  $C_1$  and  $C_2$ , we say that they are *bisimilar* and denote this by  $C_1 \simeq C_2$ . A function  $f : V_1 \rightarrow V_2$  is a *homomorphism* of charts if the graph of  $f$  is a bisimulation. Finally, we call  $e, f \in \text{StExp}(A)$  *bisimilar*, denoted  $e \simeq f$ , when their charts are bisimilar, i.e., when  $C(e) \simeq C(f)$ .

The proof system BBP for 1-free star expressions up to bisimilarity is given as follows:

$$\begin{array}{llll}
(B1) \ x + y = y + x & (B2) \ (x + y) + z = x + (y + z) & (B3) \ x + x = x & (B4) \ (x + y) \cdot z = x \cdot z + y \cdot z \\
(B5) \ (x \cdot y) \cdot z = x \cdot (y \cdot z) & (B6) \ x + 0 = x & (B7) \ 0 \cdot x = 0 & (BKS1) \ x \cdot (x^{\otimes} y) + y = x^{\otimes} y \\
(BKS2) \ (x^{\otimes} y) \cdot z = x^{\otimes} (y \cdot z) & (RSP) \ x = (y \cdot x) + z \implies x = y^{\otimes} z & & 
\end{array}$$

Notice how, in contrast with Salomaa's axioms for language equivalence of regular expressions, the left-distributivity axiom  $(x \cdot (y + z) = x \cdot y + x \cdot z)$  and the right-annihilation axiom  $(x \cdot 0 = 0)$  are absent.

We write  $e_1 =_{\text{BBP}} e_2$  to mean that  $e_1 = e_2$  is derivable from the axioms above, together with the laws of equational logic. Milner showed that BBP is *sound* for bisimilarity, that is, if  $e_1 =_{\text{BBP}} e_2$ , then  $e_1 \simeq e_2$ . The converse, known as *completeness*, is the result proved by Grabmayer and Fokkink [6].

**Theorem 1.4.** *BBP is complete w.r.t. bisimilarity: for any  $e_1, e_2 \in \text{StExp}(A)$ ,  $e_1 \simeq e_2$  implies  $e_1 =_{\text{BBP}} e_2$ .*

The main technical hurdle to prove this theorem is that some charts  $C$  are not bisimilar to any 1-free star expression, i.e.,  $C \simeq C(e)$  does not hold for any 1-free star expression  $e$ . Indeed, Milner had already noticed this [12] (for star expressions at large), and asked for a characterization of the charts that *can* be described by expressions. Grabmayer and Fokkink proposed a property of charts called *layered loop existence and elimination* (LLEE), and show (1) that the chart of any expression is LLEE, and (2) an equivalent expression can be recovered from any LLEE chart. Additional properties of LLEE charts (see the next section) can then be leveraged to prove Theorem 1.4, in a manner reminiscent of the way Kleene's theorem [10] can be used to prove completeness of Kleene algebra for regular expressions [11].

**Contribution** We show that the proof strategy used by Grabmayer and Fokkink can be used to achieve a completeness result (currently limited to strongly normed expressions) if LLEE charts are replaced with a different class of charts that we call *reducible* charts. What makes this interesting is that reducible charts admit a description that is arguably simpler than LLEE charts. Moreover, reducible charts correspond

<sup>1</sup>Every chart  $C = (V, T, v^s)$  induces functions  $o : V \rightarrow 2^A$  and  $\delta : V \rightarrow P_f(V)^A$ , where  $o(v)(a) = 1$  iff  $v \xrightarrow{a} \surd$ , and  $\delta(v)(\alpha) \ni v'$  iff  $v \xrightarrow{\alpha} v'$ . Thus, a chart  $C = (V, T, v^s)$  is a pointed coalgebra for the functor  $2^A \times P_f(-)^A$ . The notions of bisimilarity and homomorphism correspond precisely to bisimulation and homomorphism as derived from this representation; cf. [14].

closely to (inverted) *reducible flow graphs* [1], a class of graphs that is important in compiler engineering; in fact, theoretical results about reducible flow graphs [8] form the basis of our development, which in turn significantly simplify the proof of one crucial property (closure under homomorphisms).

The remainder of this paper is organized as follows. Section 2 gives a general approach to completeness proofs for (1-free) star expressions, along with the properties that LLEE and reducible charts must satisfy. Section 3 introduces reducible graphs. Section 4 shows that reducible charts satisfy all properties necessary for the limited completeness proof. Section 5 concludes with some directions for future work.

## 2 A General Approach to Completeness Proofs

We now go over the proof strategy for completeness. In general terms, this strategy is very similar to the one described in [6], although our development will follow what is called the “global approach” in [17]. The first formal notion that we will need is that of a *solution* to a chart.

**Definition 2.1.** A (*provable*) *solution* to a chart  $C = (V, T, v^s)$ , is a function  $s : V \rightarrow \text{StExp}(A)$  such that the following holds for all  $v \in V$ , where the sums are the obvious generalizations of  $+$ :

$$s(v) =_{\text{BBP}} \sum_{v \xrightarrow{a} \surd} a + \sum_{v \xrightarrow{b} w} b \cdot s(w)$$

Importantly, solutions to chart interpretations of star expressions are given by the expressions themselves. Formally, the identity function  $\text{id} : V(e) \rightarrow V(e)$  is a solution to the chart  $C(e)$  for every star expression  $e$ . The crux of the completeness proof is to find a class of charts  $\mathcal{C}$  satisfying three conditions:

- (I) The chart interpretation of every star expression is in  $\mathcal{C}$ ;
- (II) Every chart in  $\mathcal{C}$  admits a solution, unique up to provable equivalence; and
- (III) The class  $\mathcal{C}$  is closed under homomorphic images.

Briefly, given these three properties, one can prove completeness as follows. First, let  $R$  be the bisimulation between  $C(e_1)$  and  $C(e_2)$  witnessing that  $e_1 \approx e_2$ . Using standard techniques, one can then construct a chart  $C = (V, T, v^s)$  whose nodes are equivalence classes of  $V(e_1) \cup V(e_2)$  under  $R$ , and show that the quotient maps  $q_i$  from  $V(e_i)$  to  $V$  are surjective homomorphisms. Because  $C(e_1)$  and  $C(e_2)$  are in  $\mathcal{C}$  by (I), so is  $C$ , by (III). Now let  $s_i$  be the unique solution to  $C(e_i)$ , and let  $s$  be the unique solution to  $C$ , which exist by (II). A straightforward argument (independent of  $\mathcal{C}$ ) shows that  $s \circ q_i$  is a solution to  $C(e_i)$ . Uniqueness of solutions (II) then implies that  $e_1 =_{\text{BBP}} s_1(e_1) =_{\text{BBP}} s(v^s) =_{\text{BBP}} s_2(e_2) =_{\text{BBP}} e_2$ .

It then remains to propose a class  $\mathcal{C}$  and prove that properties (I) through (III) hold. To this end, the class of *LLEE charts* is developed and shown to be suitable in [6]. We now recall an equivalent but somewhat more compact description of this class, known as *well-layered charts* [17].

Informally, a chart  $C = (V, T, v^s)$  is well-layered if its loops are not mutually nested; this property is recorded in a *layering witness*. Because the layering witness makes no reference to the actions or the initial node, it can be defined on the underlying transition graph  $C^\bullet = (V_\surd, T^\bullet)$ , where  $V_\surd = V \cup \{\surd\}$  and  $T^\bullet : V_\surd \rightarrow P_f(V_\surd)$  is given by  $T^\bullet(v) = \{w \in V \mid \exists a \in A. (v, a, w) \in T\}$ . An *entry/body labelling* of such a transition graph is a labelling of each transition  $x \rightarrow y$  as either an *entry* transition  $x \rightarrow_e y$ , or a *body* transition  $x \rightarrow_b y$ . We use the notation  $x \curvearrowright y$  to mean  $\exists (v_1, \dots, v_k). x \rightarrow_e v_1 \rightarrow_b \dots \rightarrow_b v_k \rightarrow_b y$ .

**Definition 2.2.** A *layering witness* for a transition graph  $(V_\surd, T^\bullet)$  is an entry/body labelling that is:

**(fully specified)** for all  $v, w \in V_\surd$ , (a)  $\neg(v \rightarrow_b^+ v)$  and (b) if  $v \rightarrow_e w$  and  $w \neq v$ , then  $w \rightarrow^+ v$ ;

**(layered)** the directed graph  $(V_{\surd}, \curvearrowright)$  is acyclic; and

**(goto-free)** for all  $v, w \in V_{\surd}$ ,  $v \curvearrowright w$  implies  $\neg(w \rightarrow \surd)$ .

A chart  $(V, T, v^s)$  is *well-layered* if its underlying transition graph  $(V_{\surd}, T^\bullet)$  admits a layering witness.

Given that in [6] properties (I) through (III) were effectively already shown for LLEE charts<sup>2</sup>, they also hold for well-layered charts, although [17] also contains a proof specialized to well-layered charts. Crucially, in both of these works, the proof of (III) is the most ingenious and as a result most complicated of the three, spanning several pages.

### 3 Reducible Graphs

The control flow of sequential programs is often modelled by a directed graph called a *flow graph*, which allows for program analysis and transformation. Often, such analyses require a property called *reducibility*, first studied by Allen [1] in the 70s. In [8], Hecht and Ullman give two characterizations of reducible flow graphs, one via graph transformations and one via a structural property. In this section, we introduce reducible graphs and adapt the relevant results to our setting. By dualizing reducibility we obtain a class of graphs that, as we will see in the next section, satisfies properties (I) through (III).

We first recall some standard graph-theoretic notions. A finite *directed graph*  $G = (V, E)$  is a pair where  $V$  is a finite set of vertices and  $E \subseteq V \times V$  is the edge relation. A graph  $G' = (V', E')$  is said to be a *subgraph* of  $G = (V, E)$  if  $V' \subseteq V$  and  $E' \subseteq E$ . The *converse* of  $G = (V, E)$  is  $G^{-1} = (V, E^{-1})$ , i.e., the graph  $G$  with the edges reversed. We denote the graph consisting of a single node with no edges by  $\mathbf{0}$ .

Given a graph  $G = (V, E)$ , the edge  $(n, m) \in E$  is said to *leave* node  $n$  and *enter* node  $m$ . In such a case, we call  $n$  a *predecessor* of  $m$ , and  $m$  a *successor* of  $n$ . A sequence of nodes  $(n_0, n_1, \dots, n_k)$ , is a *path of length  $k$*  from node  $n_0$  to node  $n_k$  if there is an edge  $(n_{i-1}, n_i)$  for  $1 \leq i \leq k$ . A *cycle* is a path  $(n_0, n_1, \dots, n_k)$  in which  $n_0 = n_k$ . If  $k = 1$ , then the cycle is a *loop*. If  $(n, n)$  is an edge, we say node  $n$  *has a loop*. We will denote an edge  $(n, m)$  in a graph by  $n \rightarrow m$  when the graph is understood.

A graph is *rooted* if there exists at least one node  $r$  such that there is a path to all nodes from  $r$ . The node  $r$  is called a *root* of the graph. A *flow graph*  $(G, r)$  is a graph together with a distinguished root  $r$ , which is called the *initial node*. We will be interested in the dual of the class of flow graphs that we call *coflow graphs*: graphs  $(G, t)$  whose converse  $(G^{-1}, t)$  is a flow graph. In this case, there exists a path from every node to  $t$  in  $G$ , and we call  $t$  the *terminal node* of  $G$ . Note that the terminal node is distinct from a sink node, which is a node with only incoming edges and no outgoing edges. Since an initial node in a flow graph may have incoming edges, a terminal node may have outgoing edges.

Hecht and Ullman [8] characterized reducible flow graphs as those graphs that can be transformed to the graph  $\mathbf{0}$  via two graph transformations. We give the dual transformations here for coflow graphs.

**Definition 3.1.** Let  $G = (V, E, t)$  be an coflow graph. Given a vertex  $v \in V$  for which there exists a loop  $(v, v) \in E$ , the transformation  $T_1(v)$  removes this loop, resulting in  $G' = (V, E \setminus \{(v, v)\})$ . Separately, given two vertices  $v_1, v_2 \in V$  such that  $v_1 \neq v_2$ ,  $v_1 \neq t$  and  $v_2$  is the unique successor of  $v_1$ , the transformation  $T_2(v_1, v_2)$  merges  $v_1$  into  $v_2$ , rerouting all edges entering  $v_1$  to  $v_2$ . This results in  $G' = (V', E')$ , where

$$V' = V \setminus \{v_1\} \quad E' = (E \cap (V' \times V')) \cup \{(w, v_2) \mid w \in V', (w, v_1) \in E\}$$

<sup>2</sup>To be more precise, in [6] it is shown that LLEE charts are closed under *bisimulation collapse*, which is slightly weaker than but closely related to closure under homomorphic images.

We define the relations  $\Rightarrow_i$  for  $i \in \{1, 2\}$  on graphs, where  $G \Rightarrow_i G'$  iff  $G$  can be transformed to  $G'$  by an application of  $T_i$ , and we let  $\Rightarrow$  denote the union of  $\Rightarrow_1$  and  $\Rightarrow_2$ . In [8], it was shown that this rewriting relation is locally confluent. Moreover, it is terminating, as each transformation step removes either an edge or a node. These two imply confluence by Newman's lemma [13]. Note that confluence technically only holds up to graph isomorphism. However, as will become clear in the following section, this will not be a relevant issue for our work.

**Lemma 3.2** ([8]). *The relation  $\Rightarrow$  on coflow graphs is confluent: for all coflow graphs  $G_1, G_2$  and  $G_3$ , if  $G_1 \Rightarrow^* G_2$  and  $G_1 \Rightarrow^* G_3$ , then there exist coflow graphs  $H_1$  and  $H_2$  such that  $H_1 \cong H_2$  and  $G_2 \Rightarrow^* H_1$  and  $G_3 \Rightarrow^* H_2$ .*

We say that a coflow graph  $G$  is *reducible* if  $G \Rightarrow^* \mathbf{0}$ . Importantly, we can show that  $T_1$  can be restricted to apply only to a node with at most one successor (besides itself), without affecting reducibility. We denote this restricted transformation by  $T_1^\dagger$  and  $\Rightarrow_{1^\dagger}$ .

**Lemma 3.3.** *For any coflow graph  $G$ ,  $G$  is reducible iff  $G \Rightarrow_{1^\dagger}^* \mathbf{0}$ , where  $\Rightarrow_{1^\dagger}$  is the union of  $\Rightarrow_{1^\dagger}$  and  $\Rightarrow_2$ .*

*Proof.* Clearly, if  $G \Rightarrow_{1^\dagger}^* \mathbf{0}$ , then  $G$  is reducible. Conversely, suppose that  $\neg(G \Rightarrow_{1^\dagger}^* \mathbf{0})$ ; then let  $G'$  be such that  $G \Rightarrow_{1^\dagger}^* G'$  and neither  $T_1^\dagger$  nor  $T_2$  can be applied to  $G'$ . It suffices to show that  $G'$  is not reducible, since  $G \Rightarrow^* G'$  and  $\Rightarrow$  is confluent. Since  $T_1^\dagger$  and  $T_2$  are not applicable to  $G'$ , every node in  $G'$  must have at least two successors besides possibly itself. Let  $\{v_1, \dots, v_k\}$  be the nodes in  $G'$  with a loop, and let  $H$  be obtained from  $G'$  by applying  $T_1$  to  $\{v_1, \dots, v_k\}$ , so  $G' \Rightarrow_1^* H$ . Then neither  $T_1$  nor  $T_2$  are applicable to  $H$ , since all nodes in  $H$  must still have two distinct successors, and no node in  $H$  has a loop. Since  $G \Rightarrow^* G' \Rightarrow^* H$  and  $H$  is not reducible, by confluence of  $\Rightarrow$  we know  $G$  is not reducible.  $\square$

Hecht and Ullman give a second structural characterization of reducible flow graphs as those not containing a specific subgraph shape. Its dual is the shape depicted in Figure 1, where  $t$  is the terminal node. Here,  $x, y, z$  and  $t$  are distinct, except that perhaps  $z = t$ . Furthermore, the squiggly edges between them denote pairwise *node-disjoint paths*, meaning that none of the paths share intermediate nodes. In graph-theoretic terms, such graphs are called *subdivisions* [19] of the graph where each path is a single edge. We call a coflow graph satisfying this shape *forbidden*.

**Lemma 3.4** ([8]). *A coflow graph is reducible iff it does not contain any forbidden subgraphs.*

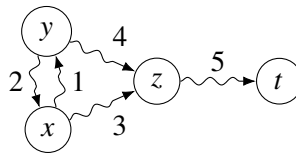


Figure 1: The forbidden graph schema

## 4 Reducible Charts for Star Expressions

A chart  $C = (V, T, v^\circ)$  is *reducible* when its underlying coflow graph  $(V_\vee, T^\bullet, \vee)$  is reducible. This implicitly requires that  $\vee$  is the terminal node, which holds exactly for the class of strongly normed charts. The chart interpretations of star expressions that always terminate fall in this class, but expressions that allow non-termination, like  $a^*0$  or  $a + (b \cdot 0)$ , do not. We first provide a connection between LLEE-charts and reducible charts, as follows.

**Lemma 4.1.** *Every well-layered (LLEE) chart  $C = (V, T, v^s)$  which is strongly normed is reducible.*

*Proof.* If  $(V_\sqrt{\cdot}, T^\bullet, \sqrt{\cdot})$  is not reducible, it contains a forbidden subgraph with nodes and paths labelled as in Figure 1, where the terminal node is  $\sqrt{\cdot}$ . Suppose there exists a layering witness for  $C$ . By iterating **(goto-free)**, it is clear that the transitions on the paths  $5 : z \rightsquigarrow t$ ,  $3 : x \rightsquigarrow z$  and  $4 : y \rightsquigarrow z$  must all be labelled as body transitions. By **(fully specified)**, at least one transition on the paths  $1 : x \rightsquigarrow y$  and  $2 : y \rightsquigarrow x$  must be labelled as an entry transition, let this be  $v \rightarrow_e v'$ , which we can assume to be on path 1 without loss of generality. If no other transition on the cycle is labelled as an entry transition, we have a path  $v \rightarrow_e v' \rightarrow_b^* y \rightarrow_b^* \sqrt{\cdot}$ , contradicting **(goto-free)**. If there exists another entry transition  $w \rightarrow_e w'$  on the cycle, then **(layered)** is violated. Since there can be no layering witness for  $C$ , it is not well-layered.  $\square$

The other direction remains open at present: are there (strongly normed) reducible charts that are not well-layered, or do the two classes of charts coincide? We discuss this further in Section 5. For now, the previous lemma allows us to conclude that condition (I) applies partially, since every interpretation of a star expression is well-layered [6, 17]:

**Lemma 4.2.** *For every strongly normed  $e \in \text{StExp}(A)$ , the interpretation  $C(e)$  is reducible.*

We will derive solutions to reducible charts through their underlying coflow graphs. To this end, we generalize the labels on the edges of the underlying coflow graph of a chart to be 1-free star expressions instead of actions. This allows us to represent intermediate steps in the solution algorithm, while also ensuring that nodes are connected by a single edge. We call such a structure a *generalized chart*. Given a chart  $C = (V, T, v^s)$ , we label pairs of nodes  $(v, w) \in V \times (V \cup \{\sqrt{\cdot}\})$  with expressions by summing the labels on transitions from  $v$  to  $w$ :

$$l_T(v, w) = \sum_{v \xrightarrow{a} w \in T} a$$

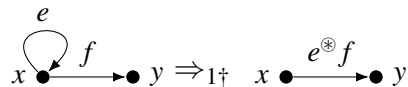
If there are no transitions from  $v$  to  $w$ , then  $l_T(v, w) = 0$ . Clearly, every chart  $(V, T, v^s)$  can be represented by its generalized chart  $(V, l_T, v^s)$ ; its underlying coflow graph connects  $v \in V$  to  $w \in V \cup \{\sqrt{\cdot}\}$  when  $l_T(v, w) \neq_{\text{BBP}} 0$ .

We can define an analogous notion of a solution for generalized charts.

**Definition 4.3.** A *solution* to a generalized chart  $C = (V, l, v^s)$  is a function  $s : V \rightarrow \text{StExp}(A)$  such that:

$$s(v) =_{\text{BBP}} l(v, \sqrt{\cdot}) + \sum_{v \rightarrow w} l(v, w) \cdot s(w)$$

Any solution to  $(V, T, v^s)$  is also a solution to  $(V, l_T, v^s)$  and vice versa, by right distributivity. Thus, in order to solve a reducible chart, it suffices to construct a solution to its generalization. We will now argue that property (II) holds for generalized charts by applying transformations  $\Rightarrow_{1\ddagger}$  and  $\Rightarrow_2$  directly to generalized charts (which affects their underlying coflow graphs in the analogous way) while relabelling transitions to preserve and reflect solutions. For the transformation  $\Rightarrow_{1\ddagger}$  applied to a node  $x$ , we relabel as depicted below.



It should be clear that if a generalized chart  $C_1$  can be transformed to  $C_2$  by  $\Rightarrow_{1\ddagger}$ , then the same relation holds between their underlying coflow graphs, as those simply discard the labels on the edges.

**Lemma 4.4.** *Let  $C_i = (V_i, l_i, v^s)$  for  $i \in \{1, 2\}$  be generalized charts such that  $C_1 \Rightarrow_{1\ddagger} C_2$ . Then  $C_1$  has a unique solution if and only if  $C_2$  has a unique solution.*

*Proof.* Suppose that the transformation was applied to a node  $x \in V$  with  $l_1(x, x) = e$ ; then  $l_2(x, x) = 0$  and  $l_2(x, y) = e^{\otimes} l_1(x, y)$  where  $y$  is the unique successor to  $x$  such that  $y \neq x$ , with  $l_1(v, w) = l_2(v, w)$  in all other cases. If  $s$  is a solution to  $C_1$  then we can derive as follows, making use of the (RSP) axiom:

$$s(x) = e \cdot s(x) + l_1(x, y) \cdot s(y) = e^{\otimes} (l_1(x, y) \cdot s(y)) = (e^{\otimes} l_1(x, y)) \cdot s(y) = l_2(x, y) \cdot s(y).$$

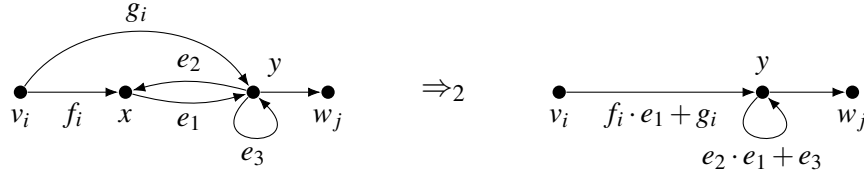
Thus,  $s(x)$  is also a solution for  $x$  in  $C_2$ . Since no other structure changes in the graph, this means that  $s$  is a solution for  $C_2$  as a whole. Conversely, suppose that  $s$  is a solution to  $C_2$ . Then we have the following:

$$\begin{aligned} s(x) &= (e^{\otimes} l_1(x, y)) \cdot s(y) \\ &= e^{\otimes} (l_1(x, y) \cdot s(y)) \\ &= e \cdot (e^{\otimes} (l_1(x, y) \cdot s(y))) + l_1(x, y) \cdot s(y) \\ &= e \cdot s(x) + l_1(x, y) \cdot s(y). \end{aligned}$$

Thus,  $s(x)$  is a solution to  $x$  in  $C_1$ , and as the rest of the labels stay the same,  $s$  is a solution for  $C_1$ .

For uniqueness, suppose  $C_1$  admits at most one solution, and let  $s, s'$  be solutions to  $C_2$ . Then  $s$  and  $s'$  are also solutions to  $C_1$ , and must be equal. The same argument works in the other direction.  $\square$

The transformation  $\Rightarrow_2$  applied to a node  $x$  with a unique successor  $y$  merges the nodes, removing  $x$  and leaving only  $y$ . The relabelling of edges associated with this transformation is displayed below. Note that the edges labelled with  $e_2, e_3$  and  $g_i$  need not exist, in which case the labels are simply 0.



**Lemma 4.5.** *Let  $C_i = (V_i, l_i, v^s)$  for  $i \in \{1, 2\}$  be generalized charts such that  $C_1 \Rightarrow_2 C_2$ . Then  $C_1$  has a unique solution if and only if  $C_2$  has a unique solution.*

*Proof.* Suppose that  $T_2$  was applied to a node  $x \in V_1$  with unique successor  $y$ , where  $l_1(x, y) = e_1$ . Note that  $C_2$  has the set of nodes  $V_2 = V_1 \setminus \{x\}$ . Given a solution  $s_1 : V_1 \rightarrow \text{StExp}(A)$  for  $C_1$ , we define a solution  $s_2$  for  $C_2$  by restricting the domain of  $s_1$  to  $V_2$ . To verify that  $s_2$  is a solution for  $G_2$ , it suffices to check that it is a solution for the nodes in  $C_2$  whose outgoing transitions differ from  $C_1$ . This is only the case for  $y$  and every other node  $v \in V_1$  where  $v \rightarrow_1 x$ , where we write  $\rightarrow_i$  to mean the transition relation  $T_i^\bullet$ . This follows from a straight-forward verification in both cases.

Conversely, suppose that we have a solution  $s_2 : V_2 \rightarrow \text{StExp}(A)$  for  $C_2$ . Then we can define a solution  $s_1 : V_1 \rightarrow \text{StExp}(A)$  by extending  $s_2$  as follows:

$$s_1(v) = \begin{cases} l_1(x, y) \cdot s_2(y) & \text{if } v = x \\ s_2(v) & \text{otherwise} \end{cases}$$

Since the outgoing transitions between  $C_2$  and  $C_1$  differ only for the nodes  $x$  and  $v \in V_1$  such that  $v \rightarrow_1 x$ , it suffices to check that  $s_1$  is a solution for these nodes, which is easily done. Finally, it is also easily verified that these transformations *uniquely* preserve and reflect solutions, by a similar argument as Lemma 4.4.  $\square$

Now that we have established that the transformations  $T_1^\dagger$  and  $T_2$  uniquely preserve and reflect solutions, we can prove that reducible charts are uniquely solvable.

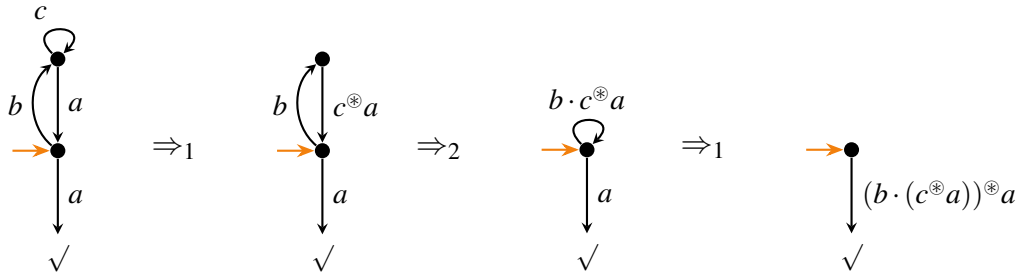
**Lemma 4.6.** *Every reducible chart admits a unique solution.*

*Proof.* Given a reducible chart  $(T, V, v^s)$ , it suffices to construct a unique solution to its associated generalized chart  $C = (V, l_T, v^s)$ . Since  $C$  is reducible, we know that  $C \Rightarrow_{\dagger}^k \mathbf{0}$  for some  $k \in \mathbb{N}$  by Lemma 3.3. We prove the lemma by induction on  $k$ . Since we are interested in charts with nodes besides  $\surd$ , we can assume that the reducible chart is not already  $\mathbf{0}$ , so our base case is  $k = 1$ .

Case  $k = 1$ . We have  $C \Rightarrow_{\dagger} \mathbf{0}$ , which implies that  $C \Rightarrow_2 \mathbf{0}$  since  $C$  contains some node besides  $\surd$ , which can only be removed by  $T_2$ . Thus,  $C$  is a graph with two nodes  $x$  and  $\surd$ , with a single labelled edge  $x \xrightarrow{e} \surd$  for some  $e \in \text{StExp}(A)$ . Clearly the unique solution to this graph is  $s(x) = e$ .

Case  $k > 1$ . We have that  $C \Rightarrow_{\dagger} C' \Rightarrow_{\dagger}^n \mathbf{0}$  for some  $n < k$ . Thus, by our inductive hypothesis,  $C'$  admits a unique provable solution. Since  $C \Rightarrow_{1\dagger} C'$  or  $C \Rightarrow_2 C'$ , we can apply Lemma 4.4 or Lemma 4.5 to obtain the fact that  $C$  admits a unique solution.  $\square$

**Example 4.7.** The transformations yield an algorithmic approach to constructing solutions to process charts. The following is an example of how a solution to a reducible chart is constructed via  $T_1$  and  $T_2$ . The orange arrow points to the start state. Note that solutions to the other nodes can also be reconstructed, as described in the previous two lemmas. It is easily verified that the expression  $(b \cdot (c^{\otimes} a))^{\otimes} a$  is indeed a (unique) solution to the starting state in the original chart.



The previous result gives us step (II) towards a completeness theorem. What remains now is step (III), that is, to show that the property of reducibility for charts is closed under homomorphic images. The relative simplicity of this proof compared to the analogous proof for LLEE charts [6, 17] makes reducibility an attractive notion to reason with. For the proof we use the structural characterization of reducibility.

**Lemma 4.8.** *Let  $C_i = (V_i, T_i, v_i^s)$  for  $i \in \{1, 2\}$  be charts and let  $f : C_1 \twoheadrightarrow C_2$  be a surjective homomorphism. If  $C_1$  is reducible, then  $C_2$  is too.*

*Proof (sketch).* The homomorphism  $f$  on charts is also a coalgebra homomorphism between their underlying transition graphs  $C_1^\bullet$  and  $C_2^\bullet$ , which are coalgebras for the finite powerset functor. We will show that if  $C_2^\bullet$  contains a forbidden subgraph, with nodes and labels as in Figure 1, then we can reconstruct a forbidden subgraph in  $C_1^\bullet$  using surjectivity of  $f$ .

Let  $a \in C_1^\bullet$  be such that  $f(a) = x$ . The path  $1 : x \rightsquigarrow y$  in  $C_2^\bullet$  is of the form  $(x, x_1, \dots, x_k, y)$ . This path is mirrored in  $C_1^\bullet$  by  $f$  as a path  $(a, a_1, \dots, a_k, b)$  where  $f(a_i) = x_i$  for  $i \leq k$ , and  $f(b) = y$ . Similarly, the path  $2 : y \rightsquigarrow x$  in  $C_2^\bullet$  is of the form  $(y, y_1, \dots, y_l, x)$ , which is mirrored in  $C_1^\bullet$  by  $f$  as a path  $(b, b_1, \dots, b_l, a')$  where  $f(b_i) = y_i$  for  $i \leq l$ , and  $f(a') = x$ . If  $a = a'$ , we have reconstructed the cycle in  $C_1^\bullet$ . If not,

we can use a ‘zigzag’ argument, where we construct a new path from  $a'$  to some  $b' \in f^{-1}(y)$  in  $C_1^\bullet$  by surjectivity of  $f$ , and back from  $b'$  to some  $a'' \in f^{-1}(y)$ , and so on. Since there are finitely many nodes, the preimages  $f^{-1}(x)$  and  $f^{-1}(y)$  are finite. Thus, this construction must eventually repeat a node in one of these preimages, forming the cycle of the forbidden subgraph in  $C_1^\bullet$ . The remaining paths  $3 : x \rightsquigarrow z$ ,  $4 : y \rightsquigarrow z$  and  $5 : z \rightsquigarrow t$  can be reconstructed in  $C_1^\bullet$  similarly using  $f$ , taking care to ensure that the paths in  $C_1^\bullet$  are disjoint. Thus, we can construct a forbidden subgraph in  $C_1^\bullet$ .  $\square$

## 5 Future Work

In summary, we have obtained the following results:

- (I) For every *strongly normed* star expression  $e$ , its chart interpretation  $C(e)$  is reducible.
- (II) Every reducible chart has a unique provable solution.
- (III) Reducible charts are closed under homomorphic images.

In total, this tells us that if  $e_1$  and  $e_2$  are strongly normed and  $e_1 \rightleftharpoons e_2$ , then  $e_1 =_{\text{BBP}} e_2$ . To recover Theorem 1.4 in full, we want to look at reducibility of graphs where not every node can reach the terminal node. We know that  $\Rightarrow_{1\ddagger}$  and  $\Rightarrow_2$  can still preserve and reflect solutions on such systems. However, we need to take into account that nodes may offer only transitions that never lead to acceptance; because these are not bisimilar to 0, they cannot be eliminated outright. We conjecture that reducibility in subcharts without termination can be characterized in a similar way. An inspiration for such a characterization is the complete directed graph on 3 nodes, which is another example of a chart that is not bisimilar to a star expression in [6].

If both of these turn out to be true, we would have a novel proof of Theorem 1.4. The obvious question is then whether the class of reducible charts coincides with LLEE charts, or whether they differ. Both cases would be interesting in their own right, and we plan to investigate this further. It would also be interesting to try and extend our results to bisimilarity for *all* star expressions. Recent work on completeness for all star expressions given by Grabmayer [5] builds on the LLEE property, but is rather intricate and complex as the LLEE property is no longer satisfied by every interpretation. A different approach to characterizing these process graphs may provide new insight into the problem.

Other avenues of further research include a coalgebraic generalization of our results to different types of behavior, such as (probabilistic) GKAT, in the style of [9]. We would also like to specialize our results to prove that normed expressions are bisimilar if and only if they are equivalent according to the laws of BBP extended with the right-absorption axiom  $x \cdot 0 = 0$ , similar to what was done in [16]. Furthermore, we wonder how the results are affected by extending 1-free star expressions with a specialized operator meant to model the exact behavior exemplified by the forbidden subchart, similar to what was done in [3].

Finally, we want to learn about other problems where graph transformations and structural characterizations could be applied, perhaps in the context of cyclic term graphs or nested term graphs [2, 7].

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